



## Dowgate MTF Onboarding & Activation Form

### 1 Our services

Dowgate (a trading name of King & Shaxson Limited) operates a multilateral trading facility for the trading of non-equity instruments. This trading is subject to the provisions set out in the Dowgate MTF Rulebook and the terms and conditions contained in the Participation Agreement.

### 2 Participant Information

<b>Participant Name (the "Participant")</b>		
<b>Registered Address</b>		
<b>Legal Entity Identifier</b>		
<b>Regulated by</b>		
<b>Contact Information:</b>		
Trading	Name	
	Email Address	
	Telephone	
I.T.	Name	
	Email Address	
	Telephone	
Compliance	Name	
	Email Address	
	Telephone	

### 3 Authorised Users

Please provide us with a list of authorised users and their relevant system permissions:

Name	Email address	Dowgate Gilts	Dowgate Euro	Dowgate SSA	Dowgate EM
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Dowgate is a trading name of King & Shaxson Limited (Company Number: 869780). The Registered Office for the company is 6th Floor, Candlewick House, 120 Cannon Street, London, EC4N 6AS and is a part of the PhillipCapital Group. King & Shaxson Limited (Firm Reference Number: 179213) is Authorised and Regulated by the Financial Conduct Authority, 25 The North Colonnade, Canary Wharf, London E14 5HS.



**4 Provision of personal information**

The system is configured to permit Participants to trade on their own account and Dowgate does not permit electronic connectivity, algorithmic trading or direct market access. As a result, each trader will be considered as both the decision maker and individual responsible for execution within the firm. In order to comply with our record keeping requirements, we will require the relevant personal information of each individual trader authorised by the Participant to trade on the MTF.

Please see our PI Data Submission guide for how to upload this information securely. Users will not be activated to use the MTF until this information has been supplied.

**5 Declaration**

By signing this document and returning it to us, you confirm that:

- you and all relevant persons have read, understood and will abide by the rules set out in the Dowgate MTF Rulebook;
- you and all relevant persons have read, understood and will abide by the terms set out in the Dowgate MTF Participation Agreement;
- you confirm that you have regular access to the internet and you consent to receiving future information relating to the services via electronic communication or through our website;
- the information supplied in the participant information section is true and accurate.

**SIGNED AND ACCEPTED BY:**

**For and on behalf of the Participant:**

\_\_\_\_\_

**Name:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Position:** \_\_\_\_\_